

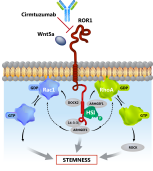
# Phase 1/2 Trial of Cirmuzumab and Ibrutinib: Planned Analysis of Phase 1 CLL Cohorts

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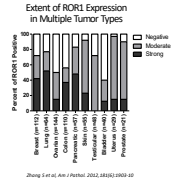
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## Introduction

ROR1 (Receptor tyrosine kinase-like Orphan Receptor 1) is an onco-embryonic protein that functions in embryonic skeletal, cardio-respiratory, and neurological development. It is detected in embryonic tissue, but not normal tissue in the adult. ROR1 is a receptor for Wnt5a, and can induce activation of Rac1, RhoA, HS1 and other downstream targets to enhance cell proliferation, migration and stemness. Wnt5a levels are high in patients with chronic lymphocytic leukemia (CLL) and mantle cell lymphoma (MCL).

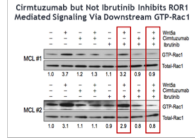


ROR1 is expressed by malignant cells in many cancers, including CLL and MCL. As such, it is an ideal drug target for cancer therapy.



Cirmuzumab is a specific inhibitor of ROR1 signaling. It is a humanized IgG1 high affinity monoclonal antibody that binds the extracellular domain of human ROR1 and is distinct from other anti-ROR1 antibodies. It does not recognize normal adult tissues. An earlier phase 1 trial in relapsed/refractory CLL showed it to safely inhibit ROR1 signaling, reverse stemness gene expression signatures of leukemic cells, and to protect PFS with anti-CLL effects (Choi et al., Cell Stem Cell 2018).

ROR1-Wnt5a pathway remains active in ibrutinib treated MCL and CLL. In addition, inhibition of Bruton tyrosine kinase (BTK) in CLL cells increases dependence on ROR1 signaling.



Cirmuzumab, in combination with ibrutinib, exert potent synergistic effects in both MCL and CLL (Yu et al., 2017, 2018).

## Trial Design

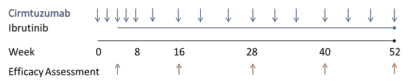
This is a Phase 1/2 study of safety, pharmacokinetics, pharmacodynamics, and antitumor activity of the combination of cirmuzumab and ibrutinib (C + I).

### Part 1: Dose-finding in MCL and CLL

Cirmuzumab: Weight-based and fixed dosing cohorts (N=3/cohort)  
• 2, 4, 8 and 16 mg/kg per dose  
• 300 mg and 600 mg per dose

Single agent cirmuzumab in the first month to assess biomarkers, including receptor occupancy, followed by combination treatment of C + I.

Cirmuzumab is administered IV, Q2W x 5 followed by monthly administration for a total duration of one year. Ibrutinib administered using FDA approved dosing for 48 weeks. CLL results reported here. Full results of Part 1 for MCL will be reported separately.



### Part 2: Expansion cohorts in MCL and CLL

Evaluation of the combination Cirmuzumab + Ibrutinib to confirm the RDR.

### Part 3 – Efficacy Evaluation in CLL

Randomized evaluation of the clinical activity and safety of Cirmuzumab + Ibrutinib vs. Ibrutinib alone.

## Main Eligibility Criteria

- Inclusion**
- Histological diagnosis of CLL/SLL or MCL
  - Safety (all doses cirmuzumab; N=18)
  - No history of prior BTK-inhibitor therapy
  - Presence of radiographically measurable disease
  - Adequate bone marrow function, hepatic function, renal function and coagulation profile
- Exclusions**
- Transformation to an aggressive lymphoma
  - CNS malignancy
  - Severe cardiovascular or gastrointestinal disease
  - Ongoing GVHD in those with prior hematopoietic progenitor transplants
  - Prior solid organ transplantation
  - Use of moderate or strong inhibitors of CYP3A4 within 7 days of onset of treatment with ibrutinib
  - Bleeding diathesis

## Selection of RDR in CLL Cohort

The recommended dose regimen (RDR) was selected based on an integrated analysis of:  
- Safety (all doses cirmuzumab; N=18)  
- Clinical efficacy (≥24 weeks treatment; N=12)  
- Pharmacokinetics and Pharmacodynamics (receptor occupancy)  
After this analysis was completed, an RDR of 600 mg Cirmuzumab + 420 mg Ibrutinib per dose was selected.

Characteristics of CLL Patients Eligible for Efficacy (N=12)		Cirmuzumab Doses Administered	
		Cohort	Dose (mg/kg)
Age (median and range)	69 (57-86)		Average per group (mg)
ICM Variable Region			Weight based dosing
- Unmutated	6		
- Mutated	3	1	2
- Not Determined	3	2	156
Del17p	0	2	4
Del11q	3	3	8
Trisomy 12	3	4	16
No Previous Treatment	3	6	1306
Relapsed/Refractory	9		Fixed dosing
- Median Prior Therapies (range)	2 (1-5)	5	300
- Prior Chemotherapy	3	6	600
- Prior Venetoclax	1		
- Prior BCR antagonist	1		

## Safety

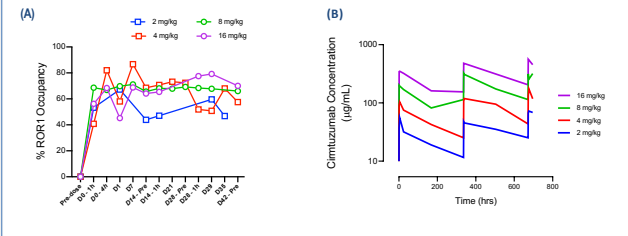
Summary of Most Frequent (≥10%) Treatment Emergent Adverse Events\* by Preferred Term in the CLL cohort

Preferred term	Overall (N=18)			≥RDR (N=9)			<RDR (N=9)		
	Overall (N=18)	≥RDR (N=9)	<RDR (N=9)	Overall (N=18)	≥RDR (N=9)	<RDR (N=9)	Overall (N=18)	≥RDR (N=9)	<RDR (N=9)
Subjects with at least one TEAE	16 (88.9%)	7 (77.8%)	9 (100.0%)						
Contusion	9	3	6	Myalgia	2	0	2		
Arthralgia	6	2	4	Back pain	2	0	2		
Fatigue	5	3	2	Pyrexia	2	1	1		
Muscle spasms	4	2	2	Nausea	2	0	2		
Diarrhoea	4	1	3	Dyspepsia	2	0	2		
Upper respiratory tract infection	3	1	2	Gastroesophageal reflux disease	2	1	1		
Urinary tract infection	3	1	2	Sinusitis	2	1	1		
Upper-airway cough syndrome	3	1	2	Dyspnoea	2	0	2		
Insomnia	3	1	2	Nasal congestion	2	1	1		
Atrial fibrillation	3	2	1	Vision blurred	2	1	1		
Hypertension	3	2	1	Hypokalaemia	2	1	1		
Rash	2	1	1	Dizziness	2	0	2		

\*TEAE = Treatment Emergent Adverse Event on study (cirmuzumab alone or cirmuzumab + ibrutinib). Version 21.1 of MedDRA was used to code AEs. N = Number of subjects in the Safety Population. n = Number of subjects in the specific category. Percentages are calculated as 100 x (n/N). Subjects reporting a particular adverse event (preferred term) more than once are counted only once by preferred term and System Organ Class.

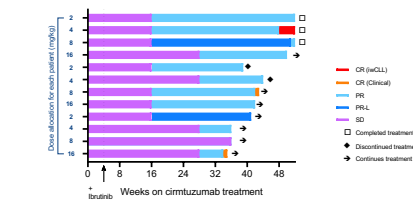
## ROR-1 Receptor Occupancy and Pharmacokinetics

(A) Percent receptor occupancy (RO) for ROR-1 was evaluated by flow cytometry of PBMCs, using competitive versus non-competitive binding for ROR-1 on CLL cells. Good RO was observed across all doses, with less variability in the first 4 weeks of treatment in the higher dose groups (8 mg/kg and 16 mg/kg). Constant recycling of ROR1 causes an apparent less-than-maximal saturation when assessed by flow cytometry. (B) PK behavior for cirmuzumab is consistent with that observed in the Phase 1a study.



## Clinical Efficacy

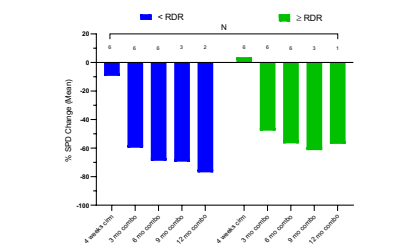
Responses in the CLL cohort of 12 evaluable patients based on investigator assessments



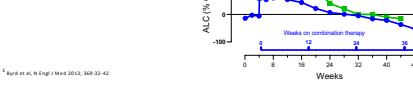
CR: Complete Response, iwCLL: International Working Group CLL criteria, CR(Clinical): Normalization of index lesions, lymphocyte count and clinical parameters, bone marrow biopsy not performed (Pat et al., 2018), PR: Partial Response, PR-L: Partial Response with Lymphocytosis, SD: Stable disease

Two patients discontinued treatment. One patient due to worsening heart failure, unrelated to the combination treatment and one patient secondary to atrial fibrillation, pericardial effusion and tamponade, not attributed to cirmuzumab.

Changes in the size of lymph nodes from baseline (RECIST) in the CLL cohort

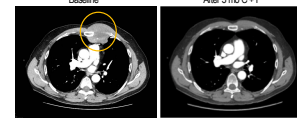


Percent change from baseline for absolute lymphocyte count (ALC) for the CLL cohort (N=12), suggesting a blunting in the lymphocytosis typically observed with ibrutinib single agent therapy



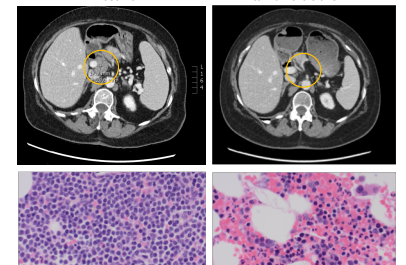
## MCL Case

Six patients with MCL have also been treated in the CIRLL study and their part 1 data will be reported separately. One patient treated experienced a complete response after 3 months of cirmuzumab (2 mg/kg) + ibrutinib treatment, including complete resolution of a large mediastinal mass. The CR is sustained for 6+ months.

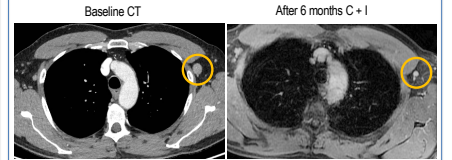


## Clinical Cases

A CLL patient at the 4 mg/kg cirmuzumab dose met iwCLL criteria for Complete Response after 10 months of combination treatment, with normalization of ALC counts and lymphadenopathy by CT scans, and no evidence for a lymphoid infiltrate or increased lymphocytes in the bone marrow (pre and on-treatment marrow biopsies shown at 400x).



A CLL Patient at 16 mg/kg had a clinical CR with normalization of lymphadenopathy by CT and MRI scans, and an ALC count in the normal range after 6 months of combination C + I treatment.



## Conclusions and Future Directions

The Cirmuzumab + ibrutinib combination was well-tolerated. Adverse events were typical for patients receiving ibrutinib. No dose-limiting toxicities were attributed to cirmuzumab.

On-treatment objective response rate (ORR) was 91.7% as of the data cutoff.

Three patients completed the one-year planned course. One out of these three patients met all iwCLL criteria for CR.

Two patients had clinical CR, with normalization of imaging and ALC in the normal range, pending marrow assessment.

Cirmuzumab 600 mg + Ibrutinib 420 mg was selected as the RDR for CLL based on safety, efficacy, pharmacokinetic and pharmacodynamic measures.

600 mg per dose is a convenient way of dosing, and it is currently evaluated in Part 2 of the trial in CLL patients.

MCL accrual is ongoing, with preliminary data in the MCL cohort demonstrating one case of sustained efficacy and good tolerability of the combination.

## REFERENCES

Choi, M. Y., et al. (2018). Phase 1 Trial: Cirmuzumab Inhibits ROR1 Signaling and Stemness Signatures in Patients with Chronic Lymphocytic Leukemia. *Cell Stem Cell* 22(6): 951-959. doi:10.1016/j.stem.2018.04.004.  
Jacobsen, J., et al. (2018). Efficacy and Safety of Cirmuzumab in Patients with Chronic Lymphocytic Leukemia. *Journal of Clinical Oncology* 36(15): 1615-1623.  
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Yu, J., et al. (2018). Cirmuzumab Inhibits Ibrutinib-Resistant, Wnt5a-Induced Rac1 Activation and Proliferation in Mantle Cell Lymphoma. *Oncotarget* 9: 24731-24736.  
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