FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	nd Address of ENT RICE	Reporting Person* HARD G						ker or Tradii <u>peutics,</u>			ET]		Relationship neck all appli Direct	cable) or	g Pers	10% Ow	ner
(Last) 12230 E	`	irst) REAL, SUITE	(Middle) 300		3. Date of Earliest Transaction (Month/Day/Year) 09/12/2019						X Officer (give title below) Other (specify below)  Chief Financial Officer						
(Street) SAN DIEGO CA 92130 (City) (State) (Zip)  Table I - Non-Deriva					4. If Amendment, Date of Original Filed (Month/Day/Year) 09/16/2015  ative Securities Acquired, Disposed of, or Benefic					Lin	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person  Cially Owned						
Date				. Transactio ate Month/Day/	Execution Date,			, Transaction Disposed Of ( Code (Instr. 5)		ties Acquired (A) or d Of (D) (Instr. 3, 4 a		Benefic	es ally Following	Form:	Direct Indirect I	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	v	Amount	(A) or Price		Transac	Transaction(s) (Instr. 3 and 4)			(Jui 4)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Ye	Code	action (Instr.			6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amou of Securities Underlying Derivative Securi (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		e S Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable		xpiration ate	Title	Amount or Number of Shares					
Stock Option	\$5.76	09/12/2019		A		75,000		(1)	0	9/12/2029	Common Stock	75,000	\$0.00	75,000	0	D	

## **Explanation of Responses:**

1. One-fourth (1/4th) of the shares subject to the stock options shall vest on first anniversary of the date of grant, and the remaining shares subject to the option shall vest in thirty-six (36) equal monthly installments over the three-year period thereafter, subject to the Reporting Persons continued employment or service with the Issuer on each such date.

## Remarks:

/s/ Hazel M. Aker, Attorney-infact

\*\* Signature of Reporting Person

07/31/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.