## SEC Form 4

П

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Chock th	nis box if no longer subject to
Section :	16. Form 4 or Form 5
obligatio	ns may continue. See
Instructio	on 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average I	burden								

hours per response:	0.5
Estimated average burden	

1. Name and Address of Reporting Person*			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GTX INC /DE/</u> [ GTXI ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
DOGGRELL HENRY PATTON		ALION			Director	10% Owner				
			—	<b>–</b> X	Officer (give title below)	Other (specify below)				
(Last) (First) (Middle) 175 TOYOTA PLAZA 7TH FLOOR			3. Date of Earliest Transaction (Month/Day/Year) 01/01/2018		VP, Chief Leg	,				
			4. If Amendment, Date of Original Filed (Month/Day/Year)		ividual or Joint/Group Filing (Check Applicable					
(Street) MEMPHIS	TN	38103		Line) X	Form filed by One Re					
					Form filed by More th Person	an One Reporting				
(City)	(State)	(Zip)								

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Hon Benvalive Occurrices Acquirea, Disposed of, of Benenolary Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	01/01/2018		<b>F</b> <sup>(1)</sup>		4,345	D	\$12.71	55,946	D		
Common Stock								400	I	By IRA	
Common Stock								664	I	By Spouse	
Common Stock								2,547	I	By Spouse IRA	
Common Stock								217	I	By Trust	
Common Stock								217	I	By Trust	
Common Stock								500	I	By Trust <sup>(2)</sup>	

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Buy)	\$12.71	01/01/2018		A		45,000		(3)	12/31/2027	Common Stock	45,000	\$0	45,000	D	

Explanation of Responses:

1. Shares withheld by the Issuer pursuant to the Rule 16b-3(e) exemption to satisfy tax withholding obligations arising out of the vesting of a portion of previously granted restricted stock units.

2. The reporting person disclaims beneficial ownership of these securities except to the extend of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of the reported shares for the purposes of Section 16 or any other purpose.

3. The option vests and is exercisable in 3 equal annual installments beginning January 1, 2021.

<u>/s/ Henry P. Doggrell</u>

01/03/2018 Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.